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Patiromer for the management of hyperkalemia in heart failure with reduced ejection fraction: the

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8

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1 Abstract

Aims: To investigate the impact of patiromer on serum potassium level and its ability to enable specified
target doses of renin-angiotensin-aldosterone system inhibitor (RAASi) use in patients with heart failure
and reduced ejection fraction (HFrEF).

5 Methods and results: A total of 1642 patients with HFrEF and current or a history of RAASi-related 6 hyperkalemia were screened and 1195 were enrolled in the run-in phase with patiromer and optimization 7 of RAASi therapy (250% recommended dose of angiotensin-converting-enzyme inhibitor/angiotensin 8 receptor blocker/angiotensin receptor-neprilysin inhibitor, and 50 mg of mineralocorticoid receptor 9 antagonist [MRA] spironolactone or eplerenone). Specified target doses of RAASi therapy were achieved in 878 (84.6%) patients; 439 were randomized to patiromer and 439 to placebo. All patients, physicians, 10 and outcome assessors were blinded to treatment assignment. The primary endpoint was between-group 11 12 difference in adjusted mean change in serum potassium. Five hierarchical secondary endpoints were assessed. At the end of treatment, the median (interquartile range) duration of follow-up was 27 (13, 43) 13 weeks, the adjusted mean change in potassium was +0.03 mmol/L in the patiromer group and +0.1314 15 mmol/L in the placebo group (difference in adjusted mean change between patiromer and placebo: -0.10 [95% confidence interval, CI-0.13, -0.07] mmol/L, P<0.001). Risk of hyperkalemia >5.5 mmol/L (hazard 16 17 ratio [HR] 0.63; 95% CI 0.45, 0.87; P=0.006), reduction of MRA dose (HR 0.62; 95% CI 0.45, 0.87; P=0.006), and total adjusted hyperkalemia events/100 person-years (77.7 vs. 118.2; HR 0.66; 95% CI 18 0.53, 0.81; P<0.001) were lower with patiromer. Hyperkalemia-related morbidity-adjusted events (win 19 20 ratio 1.53, P<0.001) and total RAASi use score (win ratio 1.25, P=0.048) favored the patiromer arm. Adverse events were similar between groups. 21 22 Conclusion: Concurrent use of patiromer and high-dose MRAs reduces the risk of recurrent 23 hyperkalemia (ClinicalTrials.gov: NCT03888066). 24 Keywords: Heart failure with reduced ejection fraction, renin-angiotensin-aldosterone system inhibitors

- 25 (RAASi), Hyperkalemia, Patiromer, Potassium-binding polymer.
- 26 **Funding**: Vifor Pharma.

1 Introduction

Hyperkalemia is associated with an increased risk of arrhythmias and mortality.¹ Renin–angiotensin–
aldosterone system inhibitors (RAASi) improve symptoms and reduce hospitalizations for heart failure
and cardiovascular mortality for patients with heart failure and reduced ejection fraction (HFrEF), but
they increase the risk of hyperkalemia,^{2–4} especially for those with concomitant chronic kidney disease
and/or diabetes mellitus.^{4–6} Hyperkalemia, or the fear of inducing it, often leads to suboptimal use and
dose of RAASi,^{2,4,7} especially mineralocorticoid receptor antagonists (MRAs), placing patients at an
increased risk for adverse outcomes.^{5,6}

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Patiromer is a novel potassium-binder that exchanges potassium for calcium in the gastrointestinal tract 10 that can be used to improve control of serum potassium.⁸ Previous trials of patiromer have been limited in 11 12 terms of duration of follow-up and sample size. The DIAMOND (Patiromer for the Management of Hyperkalemia in Participants Receiving RAASi Medications for the Treatment of Heart Failure) trial was 13 designed to assess the longer-term ability of patiromer to control serum potassium, prevent hyperkalemia 14 events, and improve outcomes and the proportion of patients achieving guideline-recommended doses of 15 16 RAASi in patients with HFrEF with hyperkalemia related to RAASi use or a history thereof. Due to slow 17 enrollment rates, changing hospitalization patterns, lower than expected event rates, the uncertainty of the course of the pandemic as a consequence of COVID-19, the primary endpoint was revised during the 18 19 study from time to first occurrence of cardiovascular death or cardiovascular hospitalization, to changes in serum potassium levels from baseline. 20

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22 Methods

23 Study design

24 The DIAMOND trial was a prospective phase 3, multicenter, double-blind, randomized withdrawal,

25 placebo-controlled study done at 389 sites in the United States, South America, Europe, and Russia. The

study design has been previously described.⁹ An independent ethics committee at each center approved

1 the trial. The executive committee whose members included academic investigators and representatives of 2 Vifor Pharma developed and amended the protocol and the statistical plan, and supervised enrolment and follow-up. The trial is conducted in accordance with the principles of the Declaration of Helsinki, the 3 4 International Conference on Harmonization Good Clinical Practice, and local and national guidelines. All 5 authors approved the manuscript and its submission for publication, take full responsibility for 6 completeness and accuracy of the analyses, and attest to adherence of the trial protocol (see 7 Supplementary Appendix). Vifor Pharma, who provided funding for the study, supported the study design, data collection, and statistician support for the publication. The corresponding author had 8 9 unrestricted access to all data and prepared the draft of the manuscript, which was reviewed and edited by all authors. 10

11

12 Patients

Eligible participants were men or women, aged ≥ 18 years with New York Heart Association (NYHA) 13 class II–IV heart failure and a left ventricular ejection fraction ≤40%. The protocol required patients to 14 have hyperkalemia at screening (defined as two serum potassium values of >5.0 mmol/L) while receiving 15 16 an angiotensin-converting enzyme inhibitor (ACEi), angiotensin receptor blocker (ARB), angiotensin 17 receptor-neprilysin inhibitor (ARNi), and/or MRA therapy. Patients were also eligible if they were normokalemic at screening but had a history of dose reduction or discontinuation of RAASi therapy due 18 to hyperkalemia in the previous 12 months, which was ascertained via investigator reporting/medical 19 records. Patients were excluded if they had an estimated glomerular filtration rate (eGFR) <30 20 mL/min/1.73 m², systolic blood pressure <90 mmHg or symptomatic hypotension, or any significant 21 22 comorbidity that could change their clinical course independent of heart failure. Complete inclusion and exclusion criteria are listed in the Supplemental Appendix. Written informed consent was obtained from 23 24 all patients before any study-related procedures were done.

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1 Randomization and masking

2 Eligible patients were enrolled into a single-blind run-in phase with weekly visits. Following the run-in phase, eligible patients underwent double-blind randomization in a 1:1 ratio, using a secure, central, 3 4 interactive, web-based response system to receive continued patiromer or switch to placebo (patiromer 5 withdrawal). Randomization was performed by using a permuted block design and was stratified by 6 geographic region. Patiromer and placebo were supplied to the study sites in masked kits after 7 randomization. Both patiromer and the placebo were powder for oral suspension with identical 8 appearances and could not be visually distinguished. All patients, physicians, and outcome assessors were 9 masked to treatment assignment. 10 11 Procedure 12 The run-in phase could last up to 12 weeks and was designed to control potassium with patiromer (titrated up to maximum three packs/day; 8.4 g/pack) while concurrently optimizing RAASi therapy, including 13 MRAs titrated to 50 mg/day based on previous clinical trial maximum dose,² and \geq 50% of recommended 14 15 doses of other RAASi drugs. Following the run-in phase, patients that were randomized to patiromer continued the established number of packets of study drug. In both groups, the RAASi agents and doses 16

that were administered at the end of the run-in phase were continued after randomization and were

18 maintained or adjusted at investigator discretion throughout the trial.

19

20 Prior to initiation of assigned patiromer/placebo, potassium concentration was measured at baseline.

21 Thereafter, participants were evaluated at every visit, starting from Day 3, and then at Weeks 1, 2, 6, 18

and every 3 months thereafter until the end of study for serum potassium, adverse events, and occurrence

of outcomes. Patients who prematurely discontinued investigational drug remained in the study for

24 collection of event data and received usual care.

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1 Outcomes

2 Due to the slow enrollment, changing hospitalization patterns, lower than expected event rates, the uncertainty of the course of the pandemic, and the risks associated with disrupted supply of 3 4 investigational products and laboratory testing due to the COVID-19 pandemic, the sponsor, with 5 recommendations from the Executive Steering Committee, changed the study objectives, and the primary 6 and secondary endpoints. The original trial primary outcome was time to first occurrence of 7 cardiovascular death or cardiovascular hospitalization and secondary outcomes included proportion of subjects on \geq 50% of target dose of ACEi, ARB, or ARNi and \geq 50% of target dose of MRA at the end of 8 9 study visit, total heart failure hospitalizations (or equivalent in outpatient clinic) and change from randomization in the clinical summary score of Kansas City Cardiomyopathy Questionnaire at 8 months. 10 The decision was made to maximize the scientific value of the data already collected in the trial and at the 11 12 same time ensure the safety of patients.

13

The revised primary endpoint was the adjusted mean change in serum potassium from baseline. The cut-14 off for data was the end of study date of June 24, 2021, all efficacy results are analyses up to the cut-off 15 date, safety results include all data collected. Five secondary endpoints were tested in a hierarchical 16 17 manner: (1) time to the first event of hyperkalemia of >5.5 mmol/L; (2) lack of durable enablement of MRA at target dose, i.e., time to discontinuation or reduction of target MRA dose for at least 14 days or 18 until end of study; (3) all investigator-reported adverse events of hyperkalemia (first and recurrent); (4) a 19 win-ratio for morbidity and mortality-adjusted hyperkalemia-related outcomes with the following 20 21 sequence: cardiovascular death, cardiovascular hospitalization, total hyperkalemia events >6.5 mmol/L, 22 >6.0-6.5 mmol/L, and >5.0-6.0 mmol/L; and (5) a win ratio of novel RAASi use score (range 0-8) based 23 on the sequence of all-cause mortality, cardiovascular hospitalization, and one or two points each for the 24 use of >0 to \leq 50% or >50% of target doses of ACEi/ARB/ARNi, MRA, and beta-blocker (Figure S1). 25 There are dependencies between the secondary endpoints, e.g., the secondary endpoint hyperkalemia-26 related outcomes, includes hyperkalemia events, which is also a secondary endpoint. Furthermore, the

RAASi use score includes MRA at target dose, which is also a secondary endpoint. A clinical events
 committee adjudicated events in a blinded manner. An independent data monitoring committee reviewed
 safety data periodically. Safety assessments included the occurrence of adverse events (according to the
 Medical Dictionary for Regulatory Activities), evaluation of blood test results and vital signs.

5

6 Statistical analysis

7 The sample size required to compare two means was calculated using the t-test method and Nquery
8 software (Version 8.6.10, Statistical Solutions Ltd, USA). A total of 820 patients (410 per treatment
9 group) was required to detect a difference between group means of 0.116 with a power of 90% and two10 sided alpha of 0.05. Further details are provided in the statistical analysis plan.

11

12 The differences between the placebo and patiromer groups for the primary endpoint were assessed for statistical significance using a mixed model for repeated measures with adjustment for prespecified 13 baseline covariate of geographic region, sex, diabetes, serum potassium and eGFR. Least squares mean 14 15 changes from baseline were reported for both treatment groups with 95% confidence intervals (CI), as 16 well as the difference between the least squares group means with 95% CI and p-value testing the null 17 hypothesis of no treatment effect. Secondary endpoints were analyzed in a hierarchical manner through calculations of point estimates by treatment group along with 95% CI for the treatment differences, 18 19 including (1) time to the first event of hyperkalemia of >5.5 mmol/L, analyzed using a Cox proportional 20 hazards regression model; (2) time to the event of a discontinuation or reduction of MRA dose to below target, analyzed using a Cox proportional hazards regression model; (3) investigator-reported adverse 21 22 events of hyperkalemia (first and recurrent), analyzed using a negative binomial regression with the 23 logarithm of the individual follow-up time as offset, (4) hyperkalemia-related outcomes adjusted for 24 morbid events, assessed with an unmatched win-ratio approach, and (5) comprehensive RAASi use score, 25 compared using an unmatched win-ratio approach. All endpoints were tested for statistical significance

for a two-side alpha of <0.05. An independent statistician replicated and verified the analyses. This study
 is registered with ClinicalTrails.gov, NCT03888066.

3

4 **RESULTS**

5 Patient Characteristics and Disposition

6 Between April 24, 2019, and June 24, 2021, a total of 1642 patients were screened for eligibility, and 7 1195 patients were enrolled in the run-in phase at 389 centers in 21 countries (Figure S2). The reasons for screening failure are described in Table S1. A total of 878 patients successfully completed the run-in-8 9 phase and were randomly assigned to continue patiromer (439 patients) or switch to placebo (439 patients) (Figure S2). The baseline characteristics in the two treatment groups were similar (Table 1). 10 Most patients were men and were enrolled in Europe. Overall, 372 (42.4%) patients had stage 3 chronic 11 12 kidney disease, and 356 (40.5%) had diabetes. Mean ± standard deviation (SD) serum potassium at baseline was 4.6±0.3 mmol/L. At screening, 354 (40.3%) patients were hyperkalemic and 524 (59.7%) 13 had a normal serum potassium with a history of hyperkalemia leading to previous dose reduction or 14 15 discontinuation of RAASi.

16

17 Run-in Period

Of the 1195 participants who entered the run-in phase, 878 were randomized. Of the 317 patients who 18 19 were not randomized, 13 were never dosed with patiromer and 46 were stopped by the executive 20 committee during the first wave of COVID-19 when most centers had halted clinical research. In addition, 98 patients in the run-in phase were discontinued after June 24, 2021, when the announcement 21 22 was made that the trial's primary endpoint had been changed, and no new patients were to be enrolled. Of the 1038 patients who completed the run-in phase, 878 (84.6%) achieved \geq 50% of target dose of 23 24 combination RAASi therapy and were randomized. Table S2 shows the reasons for the 160 patients in the 25 modified run-in set not being randomized. Patients who discontinued the study during the run-in phase

had a lower ejection fraction, blood pressure and eGFR and were more likely to have diabetes compared
to those who did not (Table S3).

3

4 **Primary Outcome**

5 The median (interquartile range) duration of follow-up was 27 (13, 43) weeks. The median number of 6 serum potassium assessments for each participant was 5 (4, 5). The adjusted mean change in serum 7 potassium from randomization to study end was +0.03 (95% CI -0.01, 0.07) mmol/L in the patiromer group and +0.13 (95% CI 0.09, 0.16) mmol/L in the placebo group, for a between-group difference of – 8 9 0.10 mmol/L (95% CI -0.13, -0.07; P<0.001) (Figure 1, Table 2). The results of the primary endpoint were consistent in prespecified subgroups, however a significantly greater change from baseline in serum 10 potassium was reported for participants with eGFR <45 mL/min/1.73m² (mean change [95% CI] -0.19 [-11 12 0.26, -0.12]) compared to participants with eGFR ≥45 mL/min/1.73m² (mean change [95% CI] -0.08 [-13 0.11, -0.04]), p=0.003 (Figure 2).

14

15 Hierarchical Secondary Outcomes

A total of 61 participants (13.9%) in the patiromer versus 85 (19.4%) in the placebo group had 16 17 hyperkalemia events of >5.5 mmol/L (hazard ratio [HR] 0.63; 95% CI 0.45, 0.87; P=0.006) (Figure S3). A discontinuation or reduction of the target MRA dose occurred in 61 participants (13.9%) in the 18 19 patiromer and in 83 (18.9%) in the placebo group (HR 0.62; 95% CI 0.45, 0.87; P=0.006) (Figure S4). In addition, 20(4.6%) participants in the patiromer and 31(7.1%) in the placebo group discontinued MRAs 20 21 during the study (HR 0.64; 95% CI 0.36, 1.12). In further exploratory analyses for patients who were still 22 alive, MRA discontinuation was reported in 12 patients in the patiromer group, compared to 27 in the 23 placebo group (HR [95% CI] 0.44 [0.22; 0.87]). Total number of adjusted hyperkalemia events/100-24 person-years were lower with patiromer (77.7 vs. 118.2 with placebo; HR 0.66; 95% CI 0.53, 0.81; 25 P<0.001) (Figure S5). Both the win ratio for hyperkalemia-related morbidity-adjusted outcomes (1.53; 95% CI 1.23, 1.91; P<0.001), and RAASi use score (1.25; 95% CI, 1.003, 1.564; P=0.048) favor 26

1 patiromer (Table 2; medication components shown in supplemental Table 4). The effect of patiromer on

2 time to the first event of hyperkalemia of >5.5 mmol/L was consistent across prespecified subgroups

3 similar to the overall population (Figure S6).

4

5 Other Endpoints

6 There was a total of 18 and 14 cardiovascular deaths and a total of 17 and 20 heart failure hospitalizations
7 in the patiromer and the placebo groups, respectively, at the end of study. Other exploratory endpoints are
8 shown in Table 3 and Figure S7.

9

10 Safety

11 During the blinded treatment phase and including assessments recorded after the end of study, the

12 proportion of patients with any adverse events was similar in the patiromer (72.9%) and placebo (74.0%)

- 13 groups (Table 4). Diarrhea, constipation, and nausea were reported for 19 (4.3%), 11 (2.5%) and 4 (0.9%)
- patients in the patiromer group and 15 (3.4%), 5 (1.1%) and 4 (0.9%) patients in the placebo group,
- 15 respectively. The proportion of patients that discontinued the study drug due to adverse events was similar
- 16 in the patiromer (2.7%) and placebo (2.5%) groups. More patients treated with patiromer experienced
- 17 hypokalemia (n=66 [15.0%]) compared with those in the placebo group (n=47 [10.7%]). The majority of
- 18 hypokalemic events were mild (57 [13.0%] in the patiromer group, and 42 [9.6%] in the placebo group).
- 19 Severe hypokalemic events were reported in one patient (0.2%) in each group.
- 20

21 Discussion

22 There were several notable findings in this trial. The run-in phase shows that most patients (84.6%) with

- HFrEF and RAASi-related hyperkalemia could achieve specified target doses of RAASi therapy,¹⁰
- 24 including an MRA, when treated with patiromer while maintaining normal serum potassium. This is
- 25 important as failure to provide guideline-recommended RAASi therapy (i.e., ARNi/ACEi/ARB and
- 26 MRA, increased to target dose as tolerated) is associated with an increased risk of heart failure

hospitalizations and death in these patients.^{2,3,4,10–13} The randomized phase showed that discontinuation of 1 2 patiromer was associated with a rise in serum potassium, an increased incidence of hyperkalemia events and fewer patients being maintained on MRA at target doses. Moreover, treatment with patiromer led to a 3 4 35% relative risk reduction in the total number of hyperkalemia events. The win ratio for hyperkalemia-5 related morbidity-adjusted outcomes and the RAASi use score were both significantly higher with 6 patiromer treatment. During the randomized phase, fewer patients discontinued MRAs in the patiromer 7 group versus placebo (Structured graphical abstract). Although this result was not statistically significant, the difference would be expected to produce a clinically meaningful effect and is consistent 8 9 with the other results and the totality of data. This difference is further highlighted when considering the patients with MRA discontinuation still alive in each group (patiromer n=12, placebo n=27; HR 0.44 10 [95% CI 0.22, 0.87]). 11

12

Triple therapy with a renin-angiotensin system inhibitor, an MRA, and a beta-blocker form the 13 cornerstone of evidence-based HFrEF care, more recently with the addition of sodium-glucose 14 cotransporter 2 inhibitors.¹⁴ However, their use in clinical practice remains suboptimal. Contemporary 15 16 data from the CHAMP-HF (Change the Management of Patients with Heart Failure) registry showed that 17 less than 25% of patients simultaneously received any dose of all three medications and fewer than 5% were on guideline-recommended doses of all three. These patterns, particularly low use of MRAs, are 18 consistent across multiple health care setting and geographic regions.^{15,16} In a large study of new MRA 19 20 users, nearly 20% experienced hyperkalemia within a year; among these, 47% discontinued MRA use; and among these, 75% were not reintroduced to MRA therapy within the following year.¹⁷ Even in a 21 22 clinical trial setting, their use is suboptimal, e.g., in the VICTORIA (Vericiguat Global Study in Subjects with Heart Failure with Reduced Ejection Fraction) trial, only 59.7% of patients were receiving triple 23 therapy.¹⁸ In this regard, it is important to note that in the DIAMOND trial, 84.6% of the patients at the 24 25 end of run-in phase were able to achieve \geq 50% of target dose of combination RAASi therapy and 97% 26 were able to take triple therapy at some dose. This underscores that increasing the proportion of patients

1 achieving specified target doses of therapy for HFrEF is feasible.¹ Furthermore, the target doses of MRA in this study, i.e., 50 mg/day of eplerenone/spironolactone, were selected as the maximum doses in the 2 RALES and EMPHASIS-HF trials.^{3,19} The pre-RALES trials found that 50 mg spironolactone produced 3 4 the highest reduction in N-terminal pro-atrial natriuretic peptide,²⁰ which is associated with heart failure 5 prognosis, and whilst it is not an established biomarker of response to therapy, the investigators felt that 6 due to its prognostic association it would be of interest to target 50 mg spironolactone. In RALES, due to 7 the risk of hyperkalemia, the starting dose was 25 mg/day spironolactone (considered to be 8 therapeutically equivalent^{1,2} to 50 mg eplerenone), which was increased if heart failure progressed to a maximum of 50 mg/day.¹⁹ In EMPHASIS-HF, the target dose of eplerenone/placebo was stratified at 9 randomization according to eGFR (50 mg/day if eGFR \geq 50 mL/min/1.73 m² and \leq 25 mg/day if eGFR 10

11 30–49 mL/min/1.73 m²).³

12

Patients with HFrEF in whom hyperkalemia develops during RAASi therapy usually have other risk factors, e.g., diabetes and chronic kidney disease,⁴⁻⁶ The effects of patiromer on the primary endpoint were consistent across all prespecified subgroups, including patients with and without diabetes and or chronic kidney disease, providing evidence for the potential of RAASi enablement across risk factors with the use of patiromer. While the difference in serum potassium between the two groups was modest, they represent the cumulative data despite down-titration or discontinuation of RAASi therapy.

19

The results of the DIAMOND trial are consistent with previous trials showing that patiromer reduces the
risk of hyperkalemia in patients taking RAASi. However, most of these earlier trials, such as AMBER
and AMETHYST-DN, were of a relatively short duration and most patients did not have heart failure.^{21,22}
The OPAL-HK (Study Evaluating the Efficacy and Safety of Patiromer for the Treatment of
Hyperkalemia) trial showed that 4 weeks of patiromer treatment in 237 patients with chronic kidney
disease decreased serum potassium and reduced hyperkalemia recurrence.²³ The PEARL-HF (Evaluation

of RLY5016 in Heart Failure Patients) trial evaluated the effect of 4 weeks of patiromer compared with

1 placebo in 105 patients with HFrEF and showed a significant effect of patiromer on serum potassium and 2 a higher proportion of patients achieving a spironolactone dose of 50 mg/day.²⁴ The current analysis is the largest randomized experience of any potassium binder assessing control of serum potassium, 3 4 hyperkalemia events, and achievement of specified target doses of RAASi therapy in patients with HFrEF and hyperkalemia. Rates of hypokalemia were comparatively high in both arms in this trial (15.0% 5 patiromer, 10.7% placebo) compared with previous trials, where hypokalemia was present in 0-6%^{21,22,25} 6 7 of patients. However, the majority of hypokalemia events were mild, with only two patients (one in each arm) reporting severe hypokalemia. Furthermore, when considering the rate of hypokalemia in the 8 9 placebo arm, the net difference was 4.3% in the patiromer arm. Although it is important to monitor patients for hypokalemia, this is a side effect that is reversible and readily managed in patiromer patients 10 by reducing the dosage. 11

12

To comprehensively assess the impact of patiromer on hyperkalemia events and RAASi treatment, two 13 win-ratio endpoints were designed, and both were significantly in favor of patiromer use. The first 14 assessed varying severity of hyperkalemia events considering first mortality and hospitalizations, and the 15 16 second a comprehensive use of RAASi, both provision and doses, also first considering mortality and 17 hospitalizations. Considering achievement of comprehensive RAASi therapy in patients with hyperkalemia and simultaneously a reduction in the risk of hyperkalemia, it can be postulated that over 18 19 the long term, this strategy may result in clinically meaningful reductions in morbidity and mortality. 20 Studies suggest that hyperkalemia may be a risk marker for MRA non-use;^{26,27} thereby, in treating 21 hyperkalemia, it would be reasonable to consider that outcomes may be improved. However, the revised 22 DIAMOND trial did not have the power to assess hard endpoints of mortality and hospitalizations. 23

24 This study should be interpreted in the context of several limitations. Due to the COVID-19 pandemic,

the primary endpoint was changed, and the number of patients and events were fewer than planned.

26 However, this represents the largest and the longest randomized experience in heart failure patients with

1 any potassium binder. Despite this, the inclusion criteria did not allow for inclusion of patients with an 2 eGFR of <30 mL/min/1.73 m², systolic blood pressure <90 mmHg or symptomatic hypotension; as such, the broader generalizability of these results may be impacted. Although this study was not powered to 3 4 demonstrate whether enabling RAASi use translates into reduced cardiovascular death or hospitalizations, 5 the use of ancillary therapy to enable primary risk reducing therapy is accepted (e.g., proton-pump 6 inhibitors to enable platelet inhibition and anticoagulants, and anti-emetics to use chemotherapy). 7 Multiple comparisons were made for the primary outcomes and readers should be careful while 8 interpretating these data. Furthermore, there was rather modest reduction in serum potassium levels with 9 patiromer, short duration of treatment and relatively few potassium measurements during follow-up. Also, there were 42 patients included with eGFR <30 mL/min/1.73 m² at randomization; these patients had 10 11 values >30 mL/min/1.73 m² prior to the run-in phase. 12 In conclusion, the use of patiromer in patients with HFrEF and RAASi-related hyperkalemia was 13 associated with significantly lower serum potassium, fewer hyperkalemia episodes, concurrent use of high 14 doses of MRAs, and overall higher RAASi use. Patiromer was safe and well tolerated. Further 15 16 prospective trials will be needed to confirm if using patiromer to enhance MRA use can help to improve 17 outcomes. Funding: This work was supported by Vifor Pharma. Funding to pay the Open Access publication charge 18 for this article was provided by Vifor Pharma. 19

20

21 Data Sharing Statement

Data underlying the findings described in this manuscript may be obtained in accordance with ViforPharma's data sharing policy. Enquiries can be made to medinfo@viforpharma.com.

- 24
- 25

1 Declaration of Interest

All authors have completed and submitted the ICMJE Form for Disclosure of Potential Conflicts of 2 Interest. Tim Friede reported consulting fees from Vifor Pharma, Bayer, Biosense Webster, CSL Behring, 3 4 Galapagos, Minoryx, Novartis, LivaNova, Janssen, Roche, and honoraria from Fresenius Kabi. Carol 5 Moreno Quinn reported support from Vifor Pharma as a Vifor employee. Javed Butler reported consulting 6 fees from Abbott, Adrenomed, Amgen, Applied Therapeutics, Array, AstraZeneca, Bayer, Boehringer 7 Ingelheim, CVRx, G3 Pharma, Impulse Dynamics, Innolife, Janssen, LivaNova, Luitpold, Medtronic, 8 Merck, Novartis, Novo Nordisk, Relypsa, Seguana Medical, and Vifor Pharma. Gerasimos Filippatos 9 reported honoraria from Bayer and Boehringer Ingelheim, committee membership for Medtronic, Vifor Pharma, Amgen, Servier, and Novartis, and grants from the European Commission. 10 Matthew Weir reported consulting fees from Vifor Pharma and AstraZeneca, and honoraria from Vifor 11 12 Pharma. Peter Van der Meer reported grants from Vifor Pharma, Pfizer, AstraZeneca, and Ionis, consulting fees from Vifor Pharma, Novartis and AstraZeneca, and honoraria from Vifor Pharma and 13 Pharmacosmos. Lars H. Lund reported grants from AstraZeneca, Vifor, Boston Scientific, Boehringer 14 Ingelheim, and Novartis, consulting fees from Merck, Vifor Pharma, AstraZeneca, Bayer, Pharmacosmos, 15 MedScape, Sanofi, Lexicon, Myokardia, Boehringer Ingelheim, and Servier, honoraria from Abbott, 16 17 MedScape, Radcliffe, AstraZeneca, and Novartis, and stock options and patents with AnaCardio. Fabio Dorigotti reported support and stock options from Vifor Pharma as a Vifor employee. Fausto J. Pinto 18 19 reported consulting fees from Vifor Pharma and NovoNordisk, honoraria from Servier, Pfizer, Novartis, 20 and Boehringer Ingelheim, and presidency (unpaid) of the World Heart Federation. Stefan D. Anker 21 reports consulting fees from Abbott, Bayer, Boehringer Ingelheim, Cardiac Dimension, Cordio, Impulse 22 Dynamics, Novartis, Occlutech, Servier, and Vifor Pharma, and grant support from Abbott and Vifor 23 Pharma. Marco Metra reported consulting fees from Actelion, Amgen, AstraZeneca, Abbott Vascular, 24 Bayer, Servier, Edwards Therapeutics, Livanova, Vifor Pharma, and WindTree Therapeutics. Udo-25 Michael Göhring reports grants, support and stock options from Vifor Pharma. Mikhail Kosiborod reported consulting fees from Amgen, Applied Therapeutics, AstraZeneca, Bayer, Boehringer Ingelheim, 26

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5	Actimed, Arena, Cardiac Dimensions, Corvia, CVRx, Enopace, ESN Cleer, Faraday, Gore, Impulse
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10	Ablative Solutions, Corvidia
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16	SQinnovations, G3 Pharmaceuticals, Sarfez, Cereno scientific, KBP biosciences, Proton Intel, and Vifor
17	Pharma, US Patent 9931412- site specific delivery of eplerenone to the myocardium, and US Patent
18	pending 63/045,783 – Histone-modulating agents for the treatment and prevention of organ damage. No
19	other authors reported disclosures.
20	

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1 double-blind, placebo-controlled study in patients with chronic heart failure (the PEARL-HF) trial.

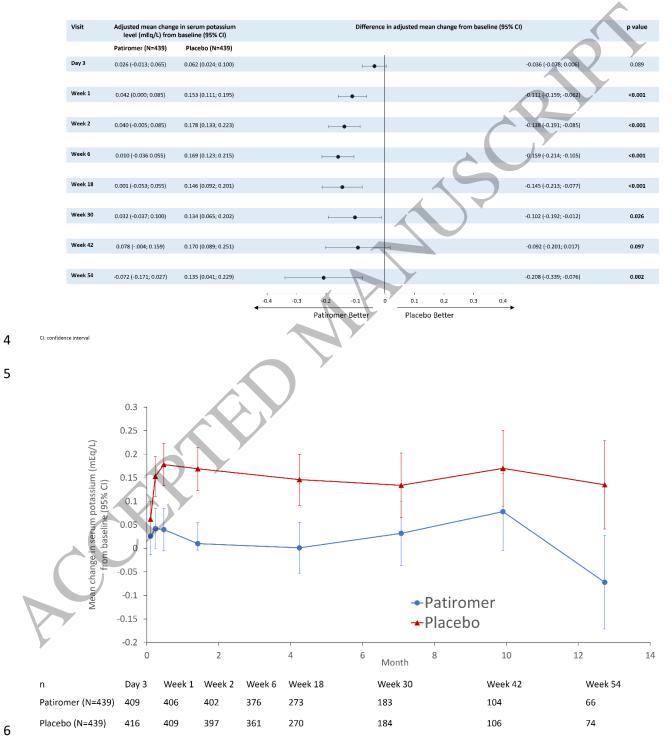
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- 11
- 12

1 Figure 1. Effects of patiromer vs. placebo on adjusted mean change in serum potassium

2 level (mmol/L) from baseline to end of study period.





23

1 Figure 2: Primary endpoint, changes according to prespecified subgroups

Subgroup	Change from b	aseline (95% CI)		Difference	in change from baseline (95% CI)
	Patiromer (N=439)	Placebo (N=439)	P value for interaction		
Overall	0.03 (-0.01; 0.07)	0.13 (0.09; 0.16)		⊢ ∎1	-0.10 (-0.13; -0.07)
History of Hyperkalemia		, , ,	0.166		
Hyperkalemic	0.08 (0.02; 0.14)	0.20 (0.14; 0.26)		⊢	-0.12 (-0.17; -0.07)
Normokalemic	-0.01 (-0.05; 0.04)	0.08 (0.03; 0.12)		⊢ ∎1	-0.08 (-0.12; -0.05)
Age (years)	, , ,	. , ,	0.947		
<median (67="" td="" years)<=""><td>0.04 (-0.01; 0.10)</td><td>0.14 (0.09; 0.20)</td><td></td><td>⊢∎1</td><td>-0.10 (-0.14; -0.06)</td></median>	0.04 (-0.01; 0.10)	0.14 (0.09; 0.20)		⊢ ∎ 1	-0.10 (-0.14; -0.06)
≥median (67 years)	0.02 (-0.03; 0.07)	0.12 (0.07; 0.17)		⊢∎	-0.10 (-0.15; -0.06)
Sex	, , ,	, , ,	0.691		
Male	0.02 (-0.02; 0.06)	0.12 (0.08; 0.16)		⊢ − ∎ −1	-0.10 (-0.14; -0.07)
Female	0.07 (0.00; 0.15)	0.15 (0.08; 0.23)			-0.08 (-0.14; -0.02)
Region ¹			0.022		
Region D	0.02 (-0.01; 0.05)	0.11 (0.08; 0.13)		⊢_∎ -1	-0.08 (-0.12; -0.05)
Region A + B + C	0.00 (-0.08; 0.09)	0.12 (0.04; 0.21)			-0.12 (-0.21; -0.04)
Ejection Fraction	,	,	0.820		
<median (35%)<="" td=""><td>0.02 (-0.03; 0.08)</td><td>0.12 (0.06; 0.18)</td><td></td><td></td><td>-0.10 (-0.15; -0.05)</td></median>	0.02 (-0.03; 0.08)	0.12 (0.06; 0.18)			-0.10 (-0.15; -0.05)
≥median (35%)	0.05 (0.00; 0.11)	0.15 (0.10; 0.20)			-0.09 (-0.13; -0.06)
Baseline NYHA Class			0.459		
Class II	0.01 (-0.04; 0.06)	0.12 (0.07; 0.16)			-0.11 (-0.15; -0.06)
Class III-IV	0.08 (0.00; 0.15)	0.15 (0.07; 0.22)			-0.07 (-0.11; -0.03)
Screening NT-proBNP	,		0.600		
≤median (155 pmol/L)	0.00 (-0.05; 0.05)	0.09 (0.04; 0.15)			-0.09 (-0.13; -0.05)
>median (155 pmol/L)	0.05 (0.00; 0.11)	0.16 (0.11; 0.21)			-0.11 (-0.15; -0.06)
BMI		. , ,	0.486		
<median (28.187="" kg="" m²)<="" td=""><td>0.05 (0.00; 0.10)</td><td>0.15 (0.10; 0.20)</td><td></td><td></td><td>-0.10 (-0.14; -0.06)</td></median>	0.05 (0.00; 0.10)	0.15 (0.10; 0.20)			-0.10 (-0.14; -0.06)
≥median (28.187 kg/m²)	0.02 (-0.04; 0.07)	0.10 (0.05; 0.16)			-0.09 (-0.13; -0.04)
History of Atrial Fibrillation	, , ,		0.520		
Yes	0.01 (-0.06; 0.07)	0.12 (0.06; 0.19)		⊢	-0.12 (-0.17; -0.07)
No	0.04 (-0.01; 0.08)	0.13 (0.08; 0.17)		⊢ ∎ 1	-0.09 (-0.13; -0.05)
Baseline CKD Stage, Category 1	, , ,		0.027		
eGFR <60 mL/min/1.73m ²	0.00 (-0.05; 0.06)	0.14 (0.09; 0.19)		⊢_ ∎(-0.14 (-0.18; -0.09)
eGFR ≥60 mL/min/1.73m ²	0.05 (0.00; 0.10)	0.12 (0.07; 0.17)		_ 	-0.07 (-0.11; -0.03)
Baseline CKD Stage, Category 2			0.003	· <u> </u>	
eGFR <45 mL/min/1.73m ²	0.06 (-0.02; 0.14)	0.25 (0.17; 0.33)	ŀ		-0.19 (-0.26; -0.12)
eGFR ≥45 mL/min/1.73m ²	0.02 (-0.03; 0.06)	0.09 (0.05; 0.13)			-0.08 (-0.11; -0.04)
Screening Diabetes Mellitus			0.846		1
Yes	0.06 (0.01; 0.12)	0.16 (0.10; 0.22)		_	-0.10 (-0.14; -0.05)
No	0.00(-0.05; 0.05)	0.11 (0.06; 0.16)		· - ·	-0.10 (-0.14; -0.06)
Screening use of MRA	(1117, 1105)		0.688		
Yes	0.01 (-0.03; 0.05)	0.12 (0.08; 0.16)		⊢∎1	-0.11 (-0.14; -0.07)
No	0.20 (0.11; 0.29)	0.27 (0.18; 0.36)			-0.07 (-0.13; -0.01)
		(······································
			-0.3	-0.2 -0.1	0 0.1
			•	Dationary D. H	
				Patiromer Better	Placebo Better

2

³ ¹Region A (US and Canada), Region B (Mexico, Argentina, Brazil), Region C (France,

4 Germany, Italy, Netherlands, Spain, UK, Israel, Belgium), Region D (Bulgaria, Czech Republic,

5 Hungary, Poland, Russia, Serbia, Ukraine, Georgia).

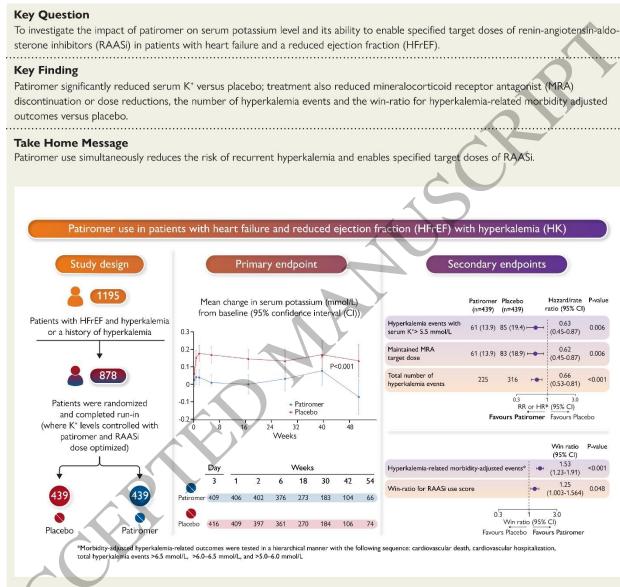
6 ARNi = angiotensin receptor-neprilysin inhibitor; BMI = body mass index; CI = confidence

7 interval; CKD = chronic kidney disease; MRA = mineralocorticoid receptor agonist; NYHA =

8 New York Heart Association.

Structured graphical abstract. Study design, primary and secondary endpoints of the 1

DIAMOND trial. 2



1 Table 1. Characteristics of patients (N=878) prior to randomization

Characteristic	Patiromer (N=439)	Placebo (N=439)	
Age (years)	66.6 ± 10.0	67.1 ± 9.9	
Women – N (%)	112 (25.5)	126 (28.7)	
Region – N (%)			
USA/Canada	31 (7.1)	32 (7.3)	
Latin America	28 (6.4)	30 (6.8)	
Western Europe and Other	30 (6.8)	28 (6.4)	
Central/Eastern Europe	350 (79.7)	349 (79.5)	
White race	433 (98.6)	427 (97.3)	
Ethnicity - Not Hispanic or Latino	381 (86.8)	379 (86.3)	
Ethnicity - Hispanic or Latino	56 (12.8)	57 (13.0)	
NYHA functional class – N (%)*			
I	10 (2.3)	4 (0.9)	
	221 (50.3)	251 (57.4)	
	208 (47.4)	178 (40.7)	
IV	0 (0.0)	4 (0.9)	
Body mass index (kg/m²) – mean ± SD	28.9 ± 4.7	28.7 ± 4.6	
Heart rate (beats/min) – mean ± SD	71 ± 9	71 ± 8	
Systolic blood pressure (mmHg) – mean ± SD	125 ± 12	124 ± 13	
Left ventricular ejection fraction – mean ± SD	33.5 ± 5.8	33.5 ± 5.7	
NT-proBNP, pg/mL – median (Q1, Q3)	1305 (666, 2591)	1322 (684, 2797)	
Ischemic heart failure etiology – n (%)	317 (72.2)	310 (70.6)	
Atrial fibrillation – n (%)	160 (36.4)	181 (41.2)	
Diabetes mellitus – n (%)	182 (41.5)	174 (39.6)	
Hypertension – n (%)	406 (92.5)	396 (90.2)	
eGFR (ml/min/1.73 m²)† – mean ± SD	62.6 ± 22.6	63.5 ± 21.4	
Chronic kidney disease – n (%)			
Stage 1 (eGFR ≥ 90 mL/min/1.73 m ²)	68 (15.5)	65 (14.8)	
Stage 2 (eGFR 60 to 89 mL/min/1.73 m ²)	159 (36.2)	172 (39.2)	
Stage 3 (eGFR 30 to 59 mL/min/1.73 m ²)	182 (41.5)	190 (43.3)	
Stage 4 (eGFR 15 to 29 mL/min/1.73 m ²)	30 (6.8)	12 (2.7)	
Serum potassium (mmol/L)	4.6 ± 0.3	4.6 ± 0.3	
Hyperkalemia at screening – n (%)	182 (41.5)	172 (39.2)	
Normokalemia at screening – n (%)	257 (58.5)	267 (60.8)	
Medication and device use – n (%)			
Angiotensin-converting enzyme inhibitor	248 (56.5)	235 (53.5)	

Angiotensin receptor blocker	128 (29.2)	136 (31.0)
Angiotensin receptor-neprilysin inhibitor	67 (15.3)	76 (17.3)
Any RAASi	439 (100.0)	439 (100.0)
Beta-blockers	429 (97.7)	425 (96.8)
SGLT2 inhibitor	29 (6.6)	20 (4.6)
Mineralocorticoid receptor antagonists	439 (100.0)	438 (99.8)
Implantable cardioverter-defibrillator	52 (11.8)	56 (12.8)
Cardiac resynchronization therapy	17 (3.9)	22 (5.0)
At 100% target dose – n (%)		
Angiotensin-converting enzyme inhibitor	200 (45.6)	184 (41.9)
Angiotensin receptor blocker	50 (11.4)	61 (13.9)
Angiotensin receptor-neprilysin inhibitor	25 (5.7)	39 (8.9)
Any RAASi	275 (62.6)	285 (64.9)
Mineralocorticoid receptor antagonists	437 (99.5)	430 (97.9)
At ≥50% target dose – n (%)		
Angiotensin-converting enzyme inhibitor	246 (56.0)	232 (52.8)
Angiotensin receptor blocker	125 (28.5)	133 (30.3)
Angiotensin receptor-neprilysin inhibitor	61 (13.9)	72 (16.4)
Any RAASi	431 (98.2)	436 (99.3)
Mineralocorticoid receptor antagonists	439 (100.0)	437 (99.5)
Dual therapy with RAS inhibitor and MRA – n (%)	439 (100.0)	438 (99.8)
Triple therapy with RAASi and MRA and beta-blocker – n (%)		424 (96.6)

- 1 Plus-minus values are means ± SD. *Two values are missing from the data for the placebo group; [†]data were
- 2 derived from central laboratory values. The body mass index is the weight in kilograms divided by the square of the
- 3 height in meters. ACEi = angiotensin-converting enzyme inhibitor; ARB = angiotensin receptor blocker; ARNi =
- 4 angiotensin receptor-neprilysin inhibitor; eGFR = estimated glomerular filtration rate; IQR = interquartile range;
- 5 NYHA= New York Heart Association; NT-proBNP = N-terminal pro B-type natriuretic peptide; RAASi = renin-
- 6 angiotensin-aldosterone system inhibitor; SGLT2, sodium-glucose cotransporter 2.
- 7

1 Table 2. Primary and secondary outcomes

Variable		romer :439)	Placebo (n=439)		Outcome (95% CI)	P value
		Events/100 py		Events/100 py		
Primary outcome						
Adjusted mean change in serum potassium (mmol/L) (95% Cl)	0.03 (-0.01, 0.07)	-	0.13 (0.09, 0.16)	-	Difference -0.10 (-0.13, - 0.07)	<0.001
Secondary outcome	es specified	in hierarchica	al testing _l	procedure – n	i (%)	
Number of patients with hyperkalemia events (serum potassium >5.5 (mmol/L)) n (%)	61 (13.9)	-	85 (19·4)	5	Hazard ratio 0.63 (0.45, 0.87)	0.006
Number of subjects with MRA reduction, n (%)	61 (13.9)	-	83 (18.9)	-	Hazard ratio 0.62 (0.45, 0.87)	0.006
Total number of hyperkalemia events	225	77.7	316	118.2	Hazard ratio 0.66 (0.53, 0.81)	<0.001
Hyperkalemia- related outcomes win ratio	A CONTRACTOR		-		1.53 (1.23, 1.91)	<0.001
RAASi use score win ratio*		-	-	-	1.25 (1.003, 1.564)	0.048

2 *Win ratio of novel RAASi use score (range 0–8) based on the sequence of all-cause mortality, cardiovascular

hospitalization, and one or two points each for the use of ≥50% or ≥100% of target doses of ACEi/ARB/ARNi, MRA,
 and beta-blocker.

5 MRA = mineralocorticoid receptor antagonist; RAASi = renin–angiotensin–aldosterone system inhibitor; py =

6 person-years.

1 Table 3. Other Endpoints.

Variable	Patiromer (n=439)	Placebo (n=439)	Hazard ratio, proportion difference, rate ratio or win ratio (95% CI)	P value
MRA dose reduction or discontinuation or serum potassium >5.5 mmol/L, n (%)	95 (21.6)	117 (26.7)	0.74 (0.57, 0.97)	0.030
Proportion of participants on ≥50% of target dose of ACEi, ARB, or ARNi and MRA	0.92	0.87	0.05 (0.007, 0.092)	0.015
Subjects with MRA discontinuation, n (%)	20 (4.6)	31 (7.1)	0.64 (0.36, 1.12)	0.117
Subjects with ACEi/ARB/ARNi discontinuation, n (%)	12 (2.7)	16 (3.6)	0.74 (0.35, 1.57)	0.438
Cardiovascular death, n (%)	18 (4.1)	14 (3.2)	1,31 (0.65, 2.63)	0.453
All-cause death, (%)	22 (5.0)	16 (3.6)	1.39 (0.73, 2.66)	0.312
Time to first cardiovascular hospitalization ¹	24 (5.5)	18 (4.1)	1.34 (0.73, 2.47)	0.347
Total cardiovascular hospitalizations, n	27	23	1.15 (0.59; 2.24)	0.671
Time to first heart failure hospitalizations ¹	16 (3.6)	15 (3.4)	1.08 (0.54, 2.19)	0.821
Total heart failure hospitalizations, n	17	20	0.79 (0.36; 1.71)	0.544
Change in NT-proBNP (pg/mL) at 66 weeks	-753 (639)	-647 (626)	-106 (-1771, 1559)	0.900

2 ¹Number of subjects with at least 1 event, n (%).

3 NYHA= New York Heart Association, NT-proBNP= N-terminal pro B-type natriuretic peptide, eGFR= estimated

4 glomerular filtration rate, ACEi= angiotensin-converting enzyme inhibitor, ARNi= angiotensin receptor-neprilysin

5 inhibitor, ARB = angiotensin receptor blocker

1	Table 4. Patients experiencing adverse events during the randomized phase.
-	Tuble 11 I utents experiencing ut erse events during the fundomized phase.

ariable	F	Patiromer	Placebo
(%)		(n=439)	(n=439)
ny adverse events	3	20 (72.9)	325 (74.0)
Hypokalemia	6	66 (15.0)	47 (10.7)
Mild	Ę	57 (13.0)	42 (9.6)
Moderate		8 (1.8)	4 (0.9)
Severe		1 (0.2)	1 (0.2)
Hypomagnesemia		19 (4·3)	22 (5.0)
Diarrhea		19 (4-3)	15 (3·4)
Constipation		11 (2·5)	5 (1.1)
Nausea		4 (0.9)	4 (0.9)
dverse events leading to withdrawal		12 (2.7)	11 (2·5)
ny serious adverse event	E	54 (12.3)	58 (13-2)